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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,778	02/25/2002	Kok-Wai Chang	10-371US78114

CONFIRMATION NO. 4429

27975

ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.
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P.O. BOX 3791
ORLANDO, FL 32802-3791

FORMALITIES LETTER



OC000000007775289

Date Mailed: 04/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1));

2002 FEB 28 10 00 AM '02
10/15/2002 PARAPHASE 00000118 501465 10082778

11 FEB 105 130.00 SH

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1008278 071002

MP
#38

JUL 10 2002
PATENT & TRADEMARK OFFICE

In re Patent Application of:
CHANG ET AL.

Serial No. 10/082,778

Filing Date: FEBRUARY 25, 2002

Confirmation No. 4429

For: OPTICAL CIRCULATOR

Atty Docket No.:
78114 (10-371 US)

Art Unit: 2874

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RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts mailed
April 3, 2002, Applicants enclose the following:

- 1) Surcharge of \$130.00 for large entity.
- 2) Declaration and Power of Attorney.
- 3) Assignment to JDS UNIPHASE CORPORATION,
together with \$40 recordal fee and cover page.
- 4) One (1) Month Extension of Time together with
\$110.00 fee.
- 5) Transmittal of FIVE (5) Sheets of Formal
Drawings.

10082778-01002

In re Patent Application of:

CHANG ET AL.

Serial No. 10/082,778

Filed: February 25, 2002

- 6) Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed April 3, 2002.

Authorization is given to charge Deposit Account 50-1465 in the amount of \$130.00 for the surcharge, \$40.00 for the assignment recordal fee and \$110.00 for the one-month extension of time. If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Deposit Account No. 50-1465.



27975

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Charles E. Wands', is written over a horizontal line.

CHARLES E. WANDS

Reg. No. 25,649

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Telephone: (321) 725-4760

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, on this 2nd day of July, 2002.

A handwritten signature in dark ink, appearing to read 'Kristin Ferguson', is written over a horizontal line.

10082778.074002

108278-071002

OFFICE
JUL 1 3 2002
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
CHANG ET AL.

Serial No. 10/082,778

Filing Date: FEBRUARY 25, 2002

Confirmation No. 4429

For: OPTICAL CIRCULATOR

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) Atty Docket No.:
78114 (10-371 US)

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) Art Unit: 2874
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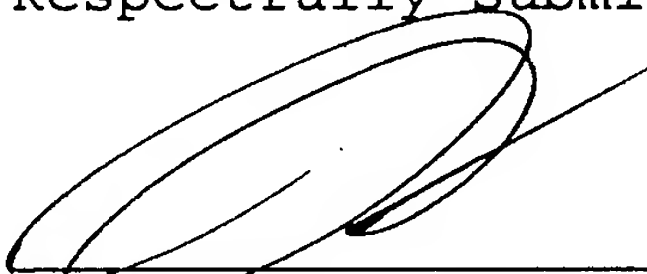
TRANSMITTAL OF FORMAL DRAWINGS

Director, U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Enclosed are FIVE (5) sheets of formal drawings
to be filed in the above-identified patent application..

Respectfully submitted,



CHARLES E. WANDS
Reg. No. 25,649




27975

PATENT TRADEMARK OFFICE

Telephone: (321) 725-4760

CERTIFICATE OF MAILING

I hereby certify that this correspondence is
being deposited with the United States Postal Service as
first class mail in an envelope addressed to: DIRECTOR,
U.S. PATENT AND TRADEMARK OFFICE, WASHINGTON, D.C. 20231,
on this 2nd day of July, 2002.



Kristen Ferguson

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